

Persistence is Key: Resources for Resubmission

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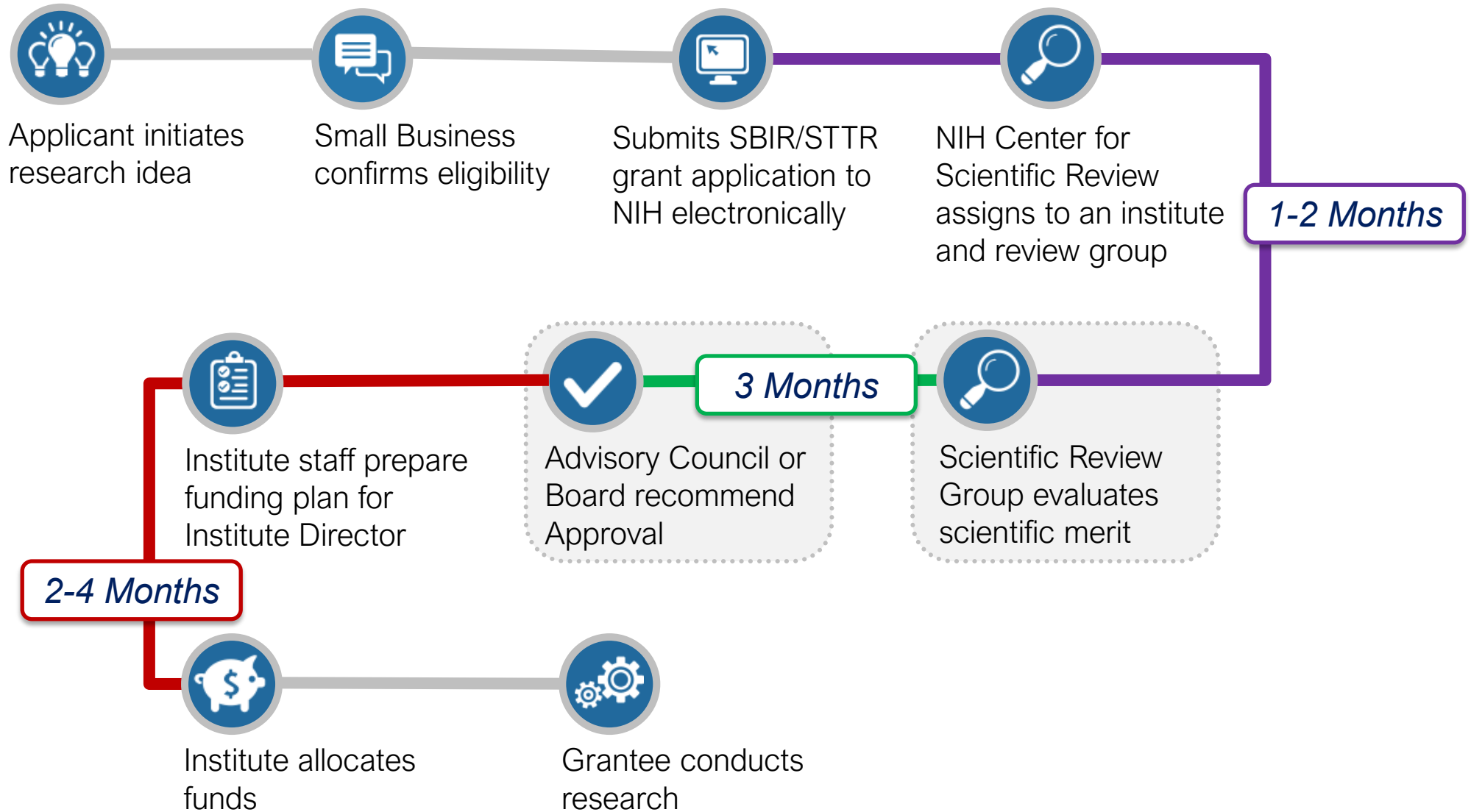
Persistence is Key: Resources for Resubmission

Stephanie Fertig, MBA
HHS Small Business Program Lead

SEED (Small business Education & Entrepreneurial Development)
Office of the Director | Office of Extramural Research | National Institutes of Health



NIH Application & Review Process



Overall Impact Score

Scored Review Criteria (score 1-9)

- Significance (Real Problem/Commercial Potential)
- Investigators (Investigator and Team)
- Innovation (New or Improved?)
- Approach (Research Design, Feasible)
- Environment (Facilities/Resources)

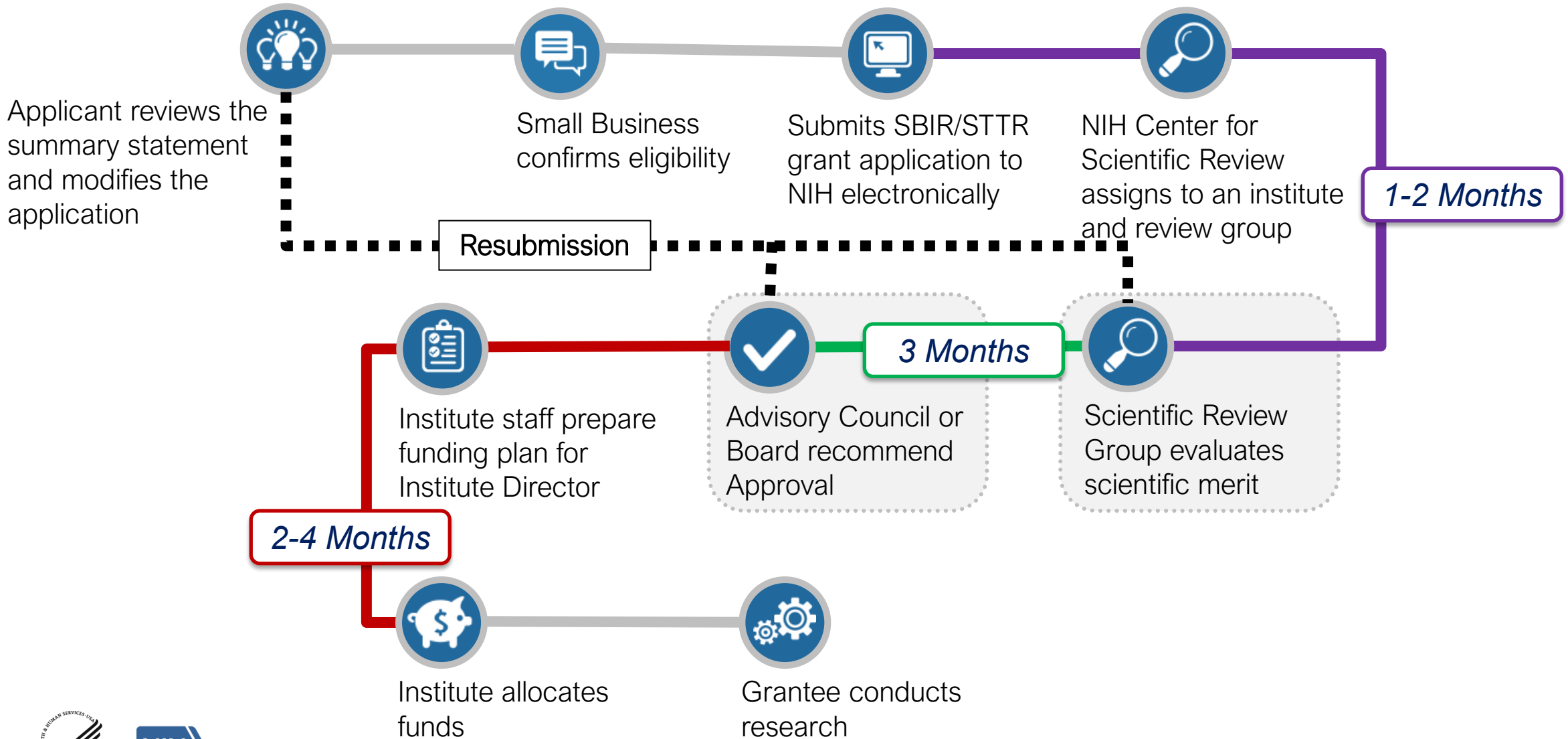
Additional Review Criteria (not scored individually)

- Protection of Human Subjects
- Inclusion of Women, Minorities & Children
- Vertebrate Animals
- Biohazards

Center for Scientific Review
<https://public.csr.nih.gov/>



NIH Application & Review Process





In 2020 18% success rate for resubmissions compared to 11% for first time submissions

Be Prepared to Resubmit!

“SBIR is a tough route, and people should be aware of that. The reviewers are not concerned about feelings. But take the criticism seriously, correct the things that need correcting and be prepared to resubmit. Don’t give up because of a depressing review.”



Mary Potasek, Ph.D.

President and Co-founder
NCI Awardee

<https://www.simphotek.com/>



Be Prepared to Resubmit!

“[SBIR] has been a long, trying, but worthwhile journey...from multiple submissions that were not discussed...to applications that scored below the funding levels...to concurrent applications (one Phase II and a Phase I) emerging from the rigorous peer review process with impact scores in the “Excellent” range.”



Laverne Morrow Carter, Ph.D.

Founder & President, REESSI

NIMHD SBIR Awardee

<http://www.reessi.com>



Most Important Piece of Advice

**Talk to your Program Officer at least a month before
the application deadline!**

PROGRAM CONTACT: [REDACTED]

SUMMARY STATEMENT
(Privileged Communication) *Release Date:* [REDACTED]
Revised Date: [REDACTED]

Application Number: 1 R43 [REDACTED]

Principal Investigator: [REDACTED]

Applicant Organization: [REDACTED]

Review Group: ZRG1 RPHB-Y (13)
Center for Scientific Review Special Emphasis Panel
Small Business: Psycho/Neuropathology, Lifespan Development, and STEM
Education

Meeting Date: [REDACTED] *RFA/PA:* [REDACTED]
Council: [REDACTED] *PCC:* [REDACTED]
Requested Start: [REDACTED]

Project Title: CareBand: Wearable Technology for People with Dementia

SRG Action: Impact Score: [REDACTED]
Next Steps: Visit https://grants.nih.gov/grants/next_steps.htm

Human Subjects: 30-Human subjects involved - Certified, no SRG concerns
Animal Subjects: 10-No live vertebrate animals involved for competing appl.
Gender: 1A-Both genders, scientifically acceptable
Minority: 1A-Minorities and non-minorities, scientifically acceptable
Children: 3A-No children included, scientifically acceptable



Sample Applications and Summary Statements

- [NIAID](#): SBIR and STTR Phase I and Fast-Track
- [NCI](#): SBIR and STTR Phase I
- [NIA](#): SBIR Phase I (with resubmission) and Phase II

